

EPFL

Physics of Materials

Chapter 3: Theory of Elasticity

Dr. Thomas LaGrange

LUMIES

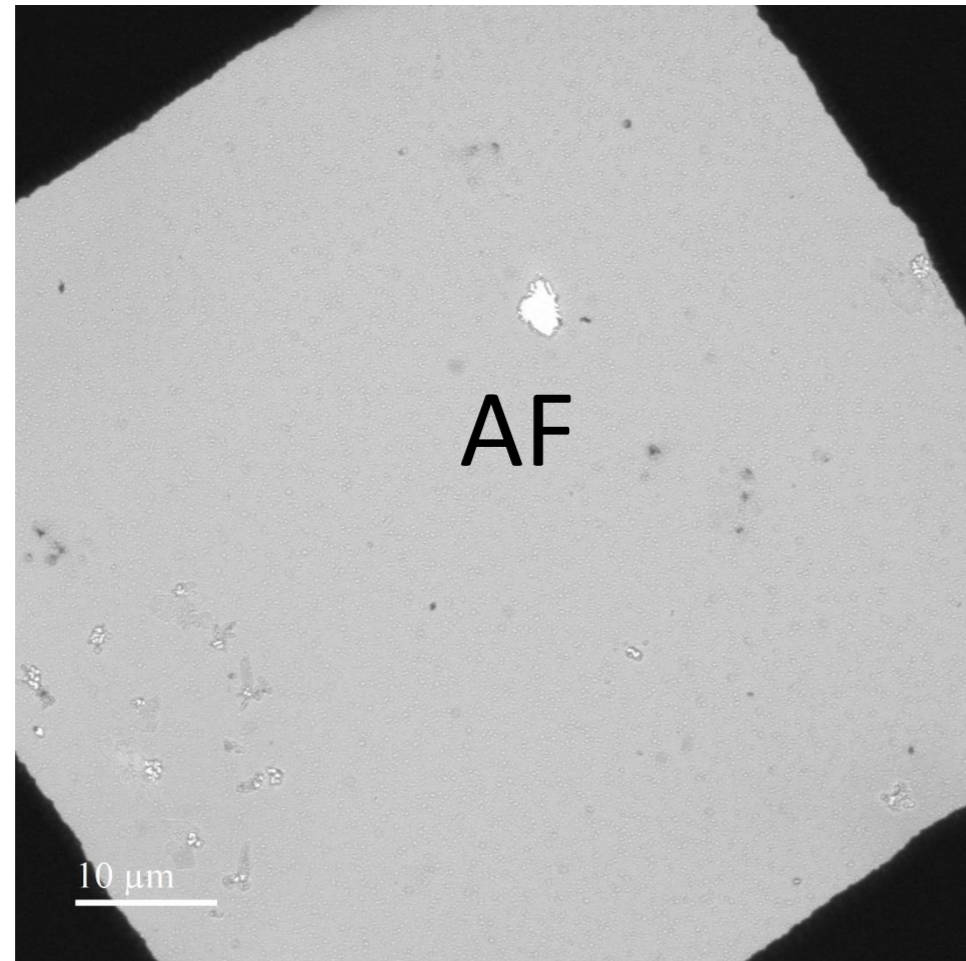


Masters Course PHYS-307

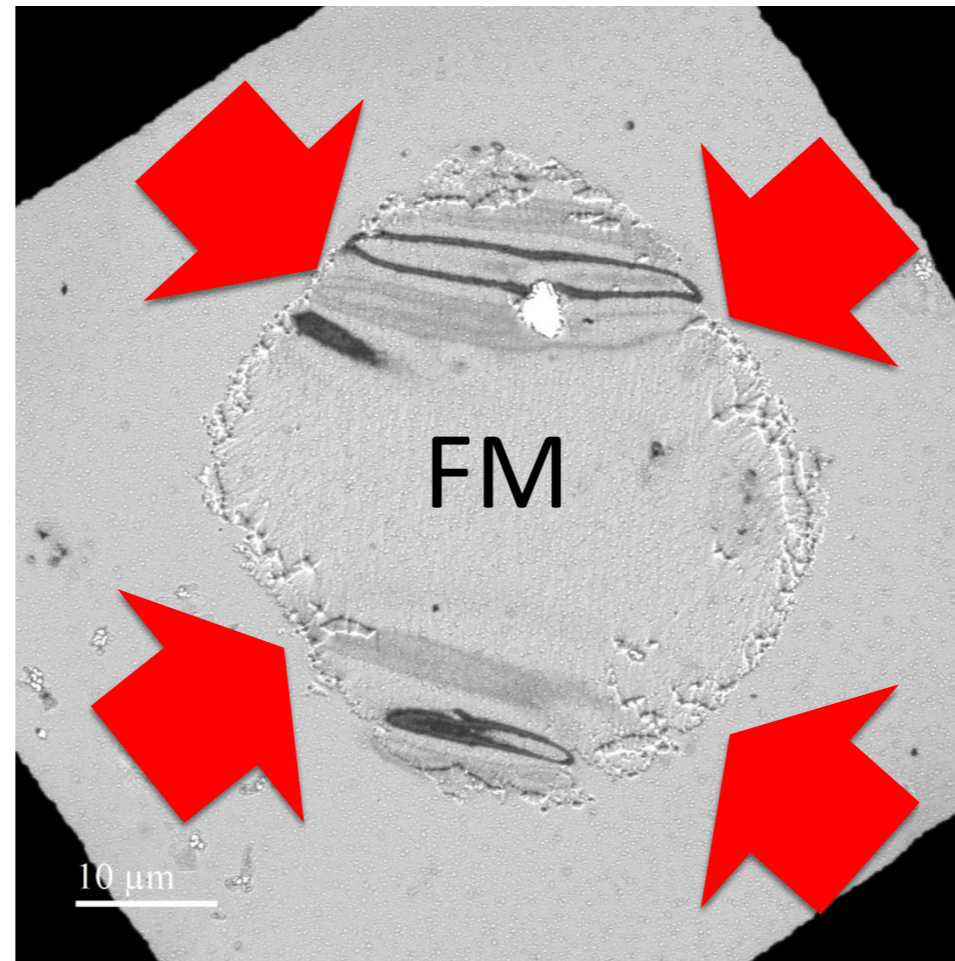
Fall 2025

FM transition of FeRh within AFM phase induces elastic stresses – (1% volume expansion)

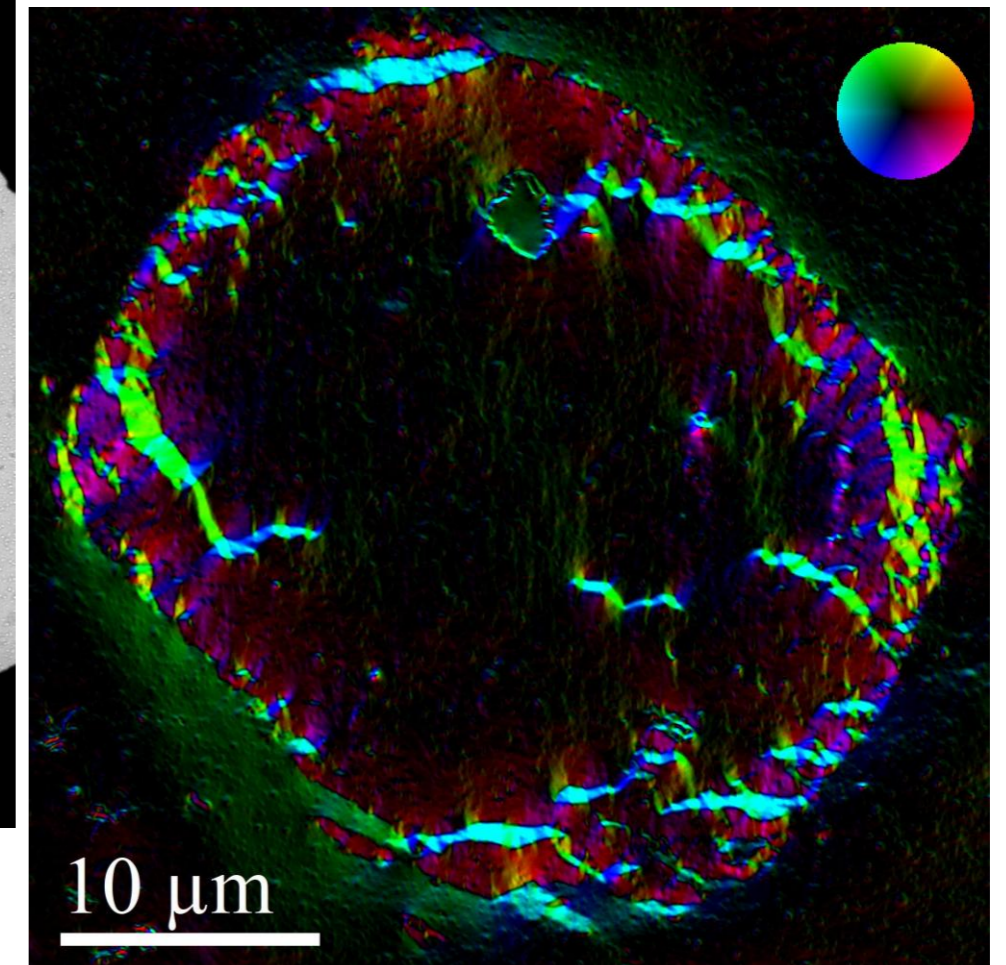
No laser heating



With laser heating

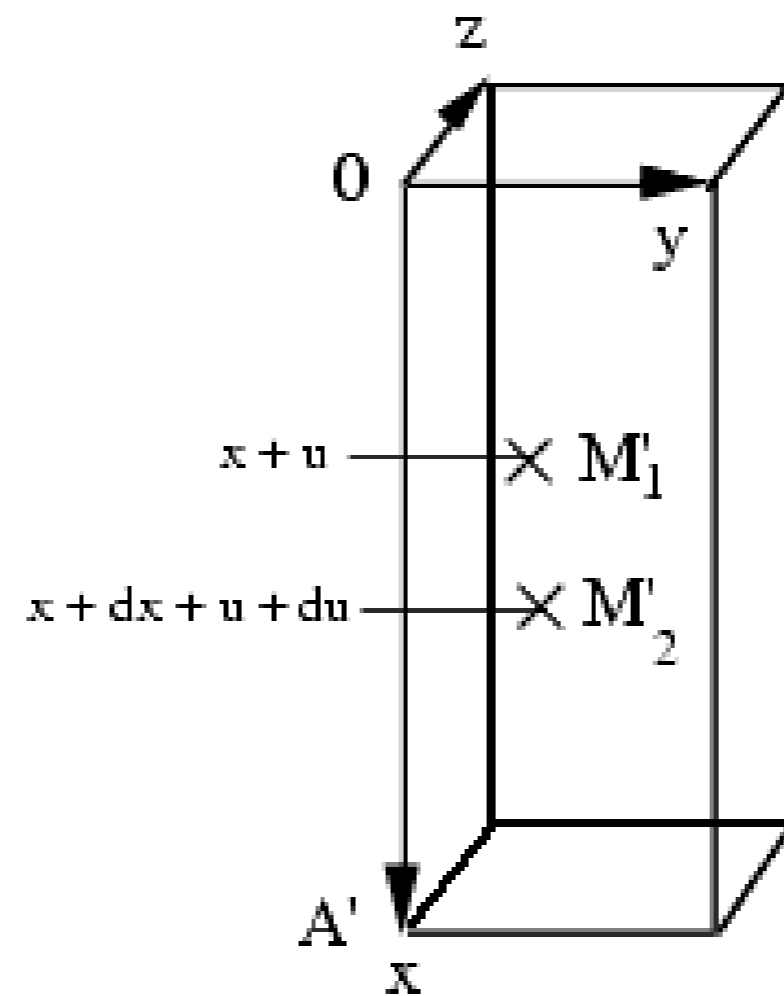
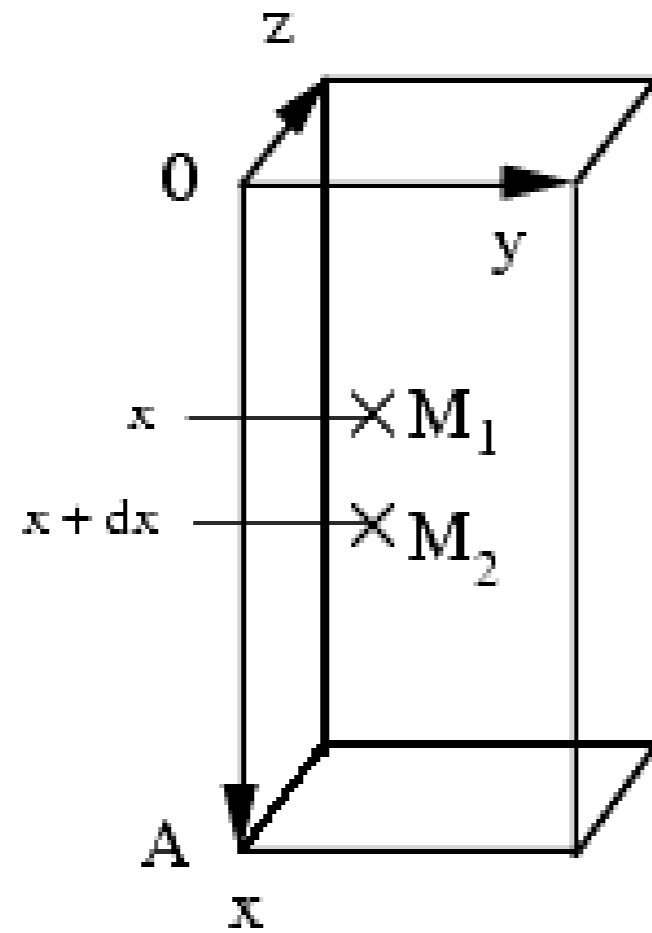


Domain Structures



The compressive bi-axial elastic stresses due to the volume expansion accompanying the transition inhibit the ferromagnetic transition and fragments

Simple laws of linear elasticity



Assumptions

1. Homogenous
2. Isotropic
3. Equilibrium
4. Reversible

Hooke's law

$$\sigma = E \cdot \varepsilon$$

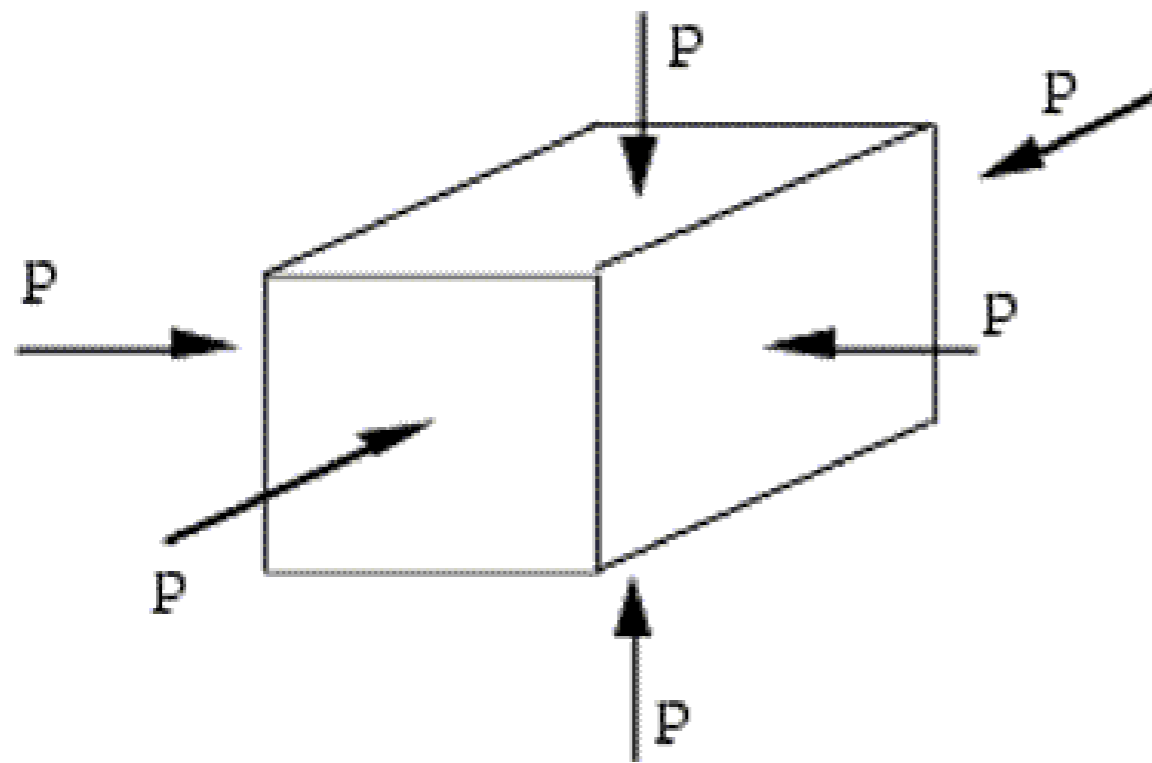
Deformation

$$\varepsilon = \frac{M'_1 M'_2 - M_1 M_2}{M_1 M_2} = \frac{du}{dx}$$

Hooke's law

$$\sigma = E \cdot \varepsilon$$

Example : hydrostatic compression



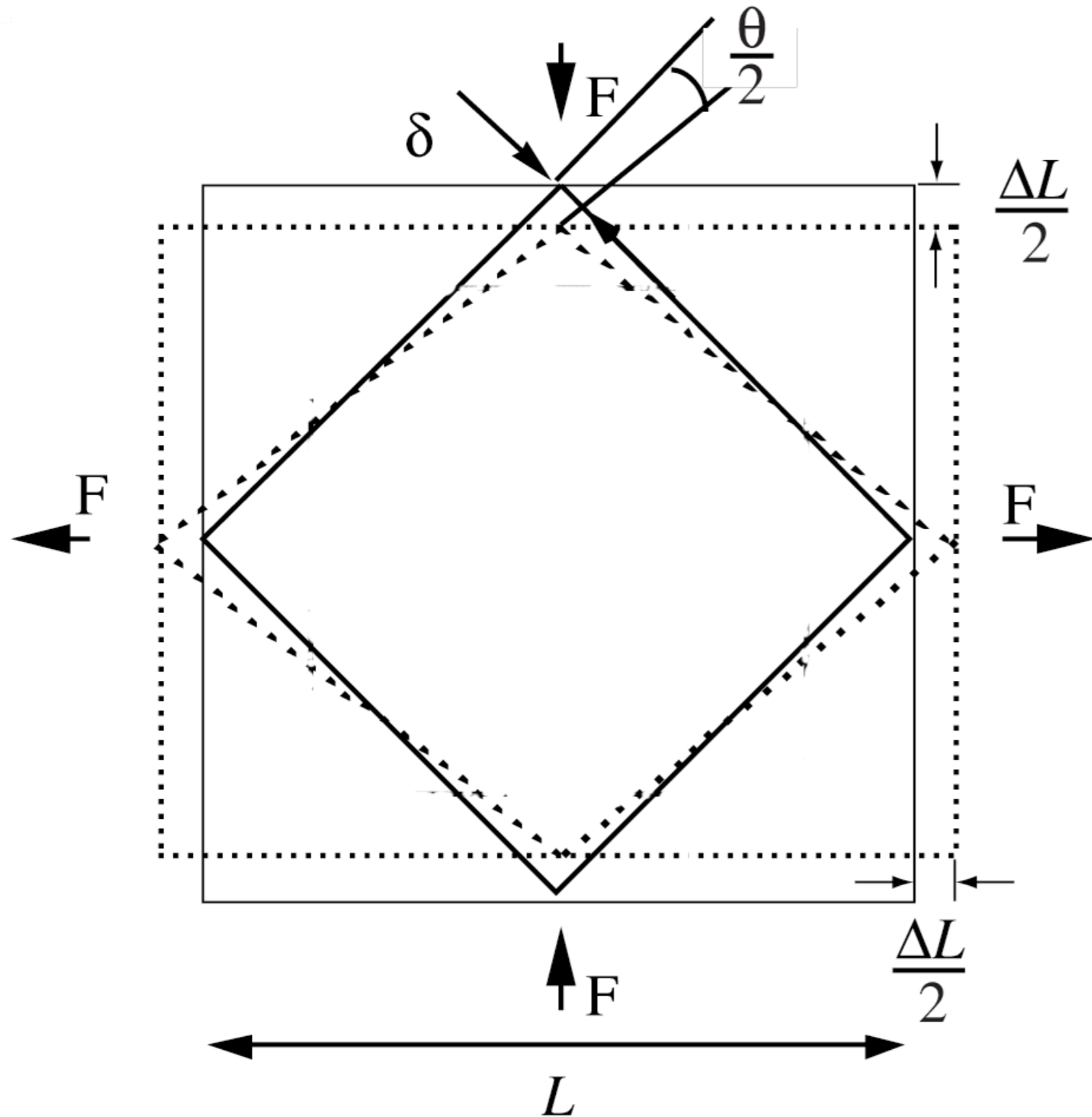
$$\frac{\Delta h}{h} = -\frac{p}{E} + \nu \frac{p}{E} + \nu \frac{p}{E} = -\frac{p}{E}(1 - 2\nu)$$

$$\frac{\Delta V}{V} = \frac{\Delta h}{h} + \frac{\Delta w}{w} + \frac{\Delta l}{l} = -\frac{3 \cdot p}{E}(1 - 2\nu)$$

$$p = -K \frac{\Delta V}{V} \quad K = \frac{E}{3(1 - 2\nu)}$$

Remember from your introductory thermodynamics course discussing the ideal gas law. K is the compressibility, described as $K \sim 1/p$

Example: pure shear-stress



$$\frac{\Delta L}{L} = \frac{F}{S} \left(\frac{1+\nu}{E} \right) = \sigma \left(\frac{1+\nu}{E} \right)$$

$$\frac{\Delta L}{2} = \sqrt{2} \cdot \delta = \sqrt{2} \cdot \frac{L}{2} \frac{\sqrt{2}}{2} \sin \frac{\theta}{2} \approx \theta \frac{L}{4}$$

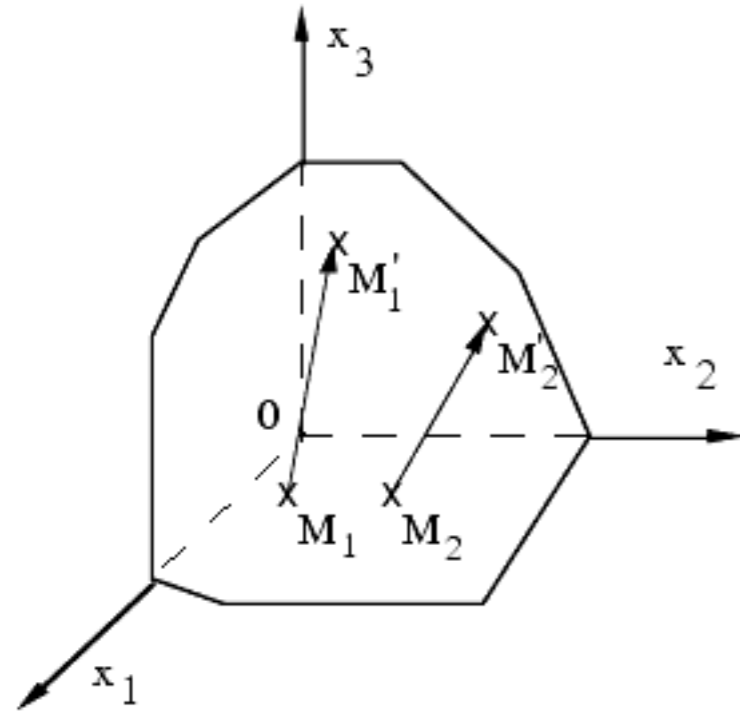
$$\Rightarrow \frac{\Delta L}{L} = \frac{\theta}{2}$$

$$\theta = 2\sigma \left(\frac{1+\nu}{E} \right)$$

But... $\sigma = \mu\theta$

Shear modulus $\mu = \frac{E}{2(1+\nu)}$

Strain tensors



$$dx'_i = dx_i + du_i$$

Landau-Lifshitz theory

$$dl = \sqrt{dx_1^2 + dx_2^2 + dx_3^2}$$

$$dl' = \sqrt{dx_1'^2 + dx_2'^2 + dx_3'^2}$$

Before

After deformation

Distortion tensor β_{ik}

Displacement vector $\vec{u} = \vec{u}(x_1, x_2, x_3)$

$$du_i = \frac{\partial u_i}{\partial x_k} dx_k = \beta_{ik} dx_k$$

$$dl'^2 = (dx_i + du_i)^2$$

$$dl'^2 = dx_i^2 + 2dx_i du_i + du_i^2 = dl^2 + 2 \frac{\partial u_i}{\partial x_k} dx_k dx_i + \frac{\partial u_i}{\partial x_k} \frac{\partial u_i}{\partial x_l} dx_k dx_l$$

$$dl'^2 = dl^2 + 2u_{ik} dx_k dx_i$$

Strain tensor u_{ik}

$$u_{ik} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} + \frac{\partial u_i}{\partial x_k} \frac{\partial u_l}{\partial x_l} \right) \approx \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} \right)$$

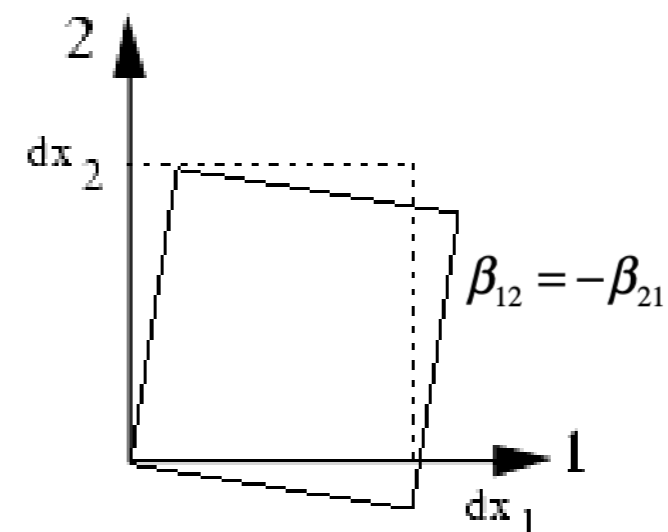
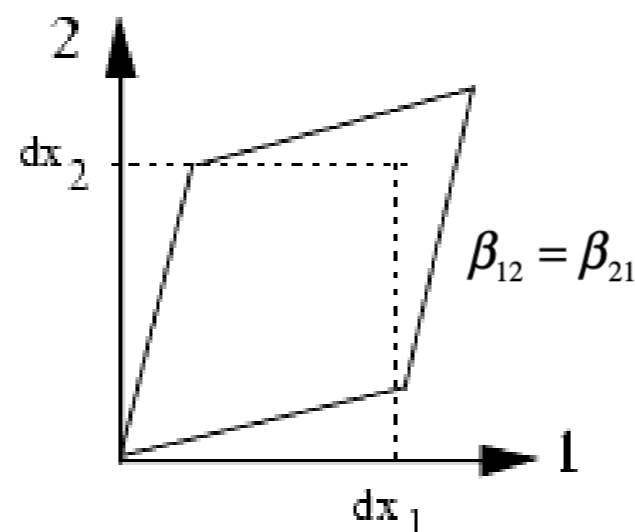
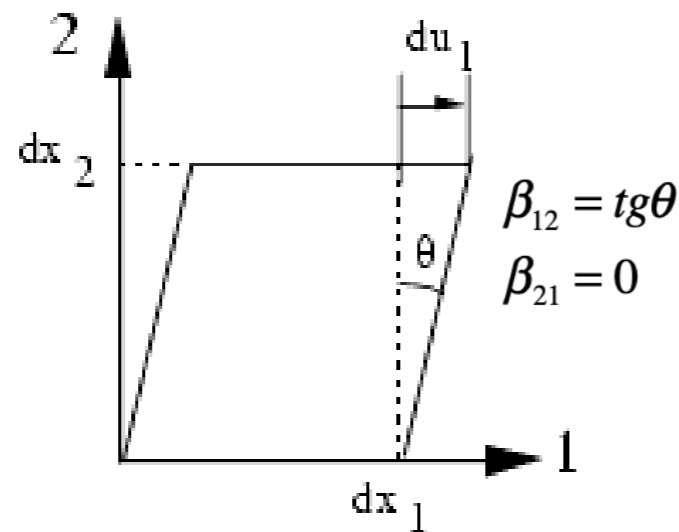
Physical significance of the strain tensor

$$dl'^2 = (\delta_{ik} + 2u_{ik}) dx_k dx_i = (1 + 2u^{(i)}) dx_i^2 \quad \text{since a basis exists where } u_{ik} \text{ is diagonal}$$

↑
Kronecker's delta

in this basis $dx'_i = \sqrt{(1 + 2u^{(i)})} dx_i \simeq (1 + u^{(i)}) dx_i$

$$\frac{dV'}{dV} = \frac{dx'_1 dx'_2 dx'_3}{dx_1 dx_2 dx_3} = \prod_{i=1}^3 (1 + u^{(i)}) \simeq (1 + u^{(1)} + u^{(2)} + u^{(3)}) = (1 + \text{tr}(u))$$



deformations

rotations

$$\beta_{ik} = \frac{1}{2}(\beta_{ik} + \beta_{ki}) + \frac{1}{2}(\beta_{ik} - \beta_{ki}) = u_{ik} + \omega_{ik}$$

Stress tensor

Volume forces

$$\int F_i dV$$

$$u_{ik} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} \right)$$

We assume that forces can only act on surfaces and are transmitted to volume through the surfaces.

$$\int F_i dV = \int \frac{\partial \sigma_{ik}}{\partial x_k} dV = \oint \sigma_{ik} ds_k \quad \text{Divergence theorem}$$

equilibrium

$$F_i = 0 \Rightarrow \frac{\partial \sigma_{ik}}{\partial x_k} = 0$$

Review of thermodynamics

1st principle

$$dE = \delta Q + \delta W$$

If **reversible** process

$$\delta Q = TdS = dQ$$

$$dE = TdS - PdV$$

If **pressure**=constant

$$dQ = TdS = dE + PdV = d(E + PV) = dH$$

The enthalpy (heat) is an exact differential

$$dH = TdS + VdP$$

Review of thermodynamics

If **temperature**=constant

$$dW = dE - dQ = d(E - TS) = dF = dE - SdT - TdS$$

$$dF = -PdV - SdT \qquad dE = TdS - PdV$$

The Helmholtz free energy (work) is an exact differential

If **temperature+pressure**=constant

$$G = E - TS + PV = H - TS = F + PV$$

$$dG = -SdT + VdP = 0 \quad G \text{ minimum}$$

For N particles

$$dG = -SdT + VdP + \mu dN$$

$G = \mu N$ is the chemical potential

Review of thermodynamics

How to use these thermodynamic functions?

Irreversible process

$$\frac{\delta Q}{dt} \leq T \frac{dS}{dt}$$

$$\frac{\delta Q}{dt} = \frac{dE + PdV}{dt} \leq T \frac{dS}{dt} \Rightarrow \frac{dE}{dt} - T \frac{dS}{dt} \leq \frac{-PdV}{dt}$$

If **V&S**=constant

$$\frac{dE}{dt} = \frac{\delta Q}{dt} \leq T \frac{dS}{dt} = 0$$

E minimum

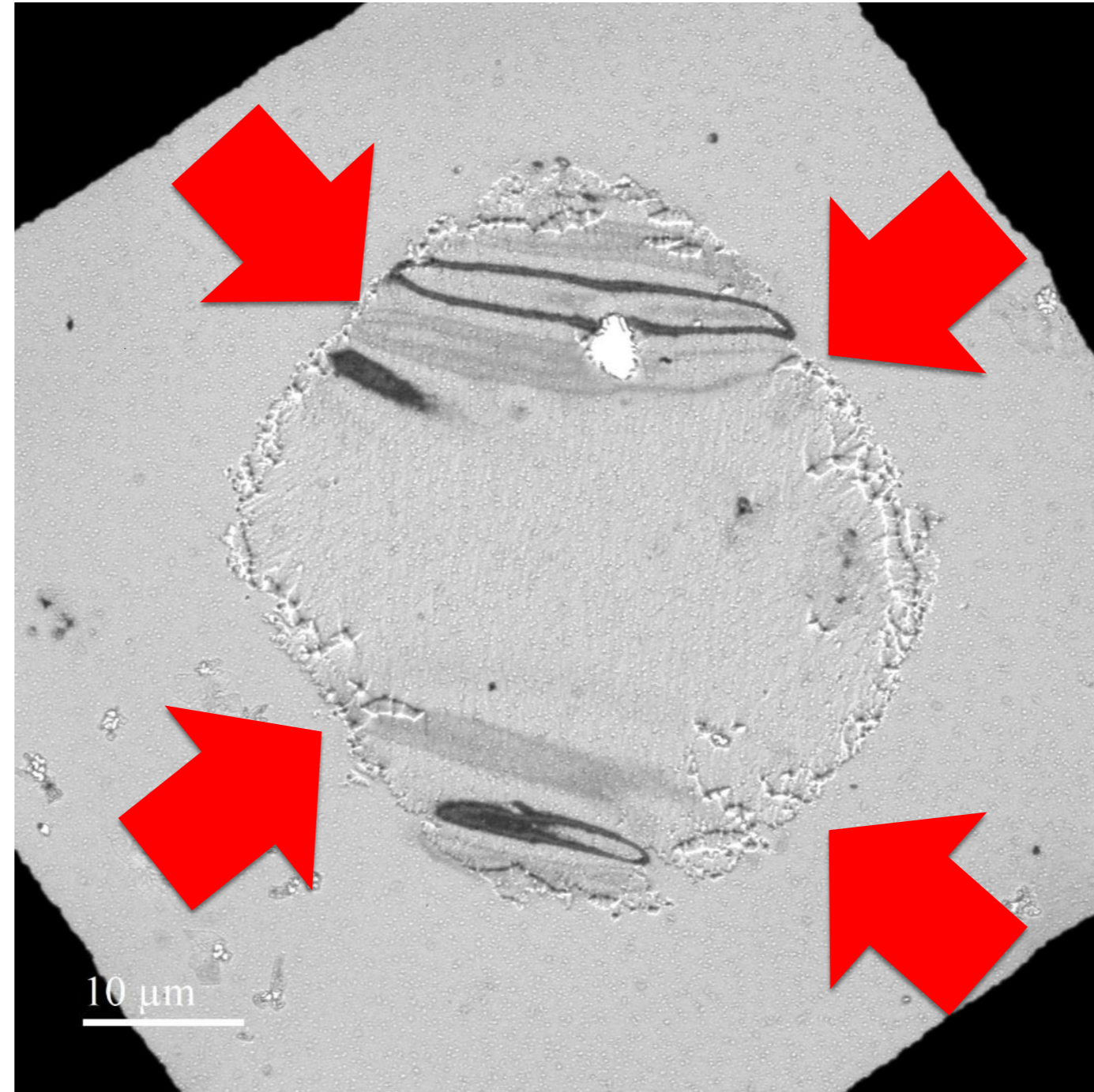
If **temperature&volume**=constant

$$\frac{dE}{dt} - T \frac{dS}{dt} = \frac{d(E - TS)}{dt} = \frac{dF}{dt} \leq 0 \quad F \text{ minimum}$$

If **temperature&pressure**=constant

$$= \frac{d(E - TS + PV)}{dt} = \frac{dG}{dt} \leq \frac{VdP}{dt} = 0 \quad G \text{ minimum}$$

FM transition of FeRh within AFM phase induces elastic stresses



How do we express transformation stresses in FM transition?

Thermodynamics of deformation

Work of internal forces (! sign -)

$$\int \delta w_{\text{int}} dV = \int \frac{\partial \sigma_{ik}}{\partial x_k} \delta u_i dV$$

$$\int_V \delta w_{\text{int}} dV = \oint_{\Sigma} \sigma_{ik} \delta u_i ds_k - \int_V \sigma_{ik} \frac{d\delta u_i}{dx_k} dV$$

$$\int_V \delta w_{\text{int}} dV = - \int_V \sigma_{ik} \delta u_{ik} \cdot dV$$

$$\delta w_{\text{int}} = -\sigma_{ik} \delta u_{ik}$$

...per unit volume

Thermodynamics of deformation

Energy

$$de = \delta q - \delta w_{\text{int}} = Tds + \sigma_{ik} du_{ik}$$

Uniform compression

$$dE = TdS - p dV = TdS - p \frac{dV}{V} V = TdS - p dV$$

$$df = -sdT + \sigma_{ik} du_{ik}$$

$$dh = Tds - u_{ik} d\sigma_{ik}$$

$$dg = -sdT - u_{ik} d\sigma_{ik}$$

$$\sigma_{ik} = \left(\frac{\partial e}{\partial u_{ik}} \right)_S = \left(\frac{\partial f}{\partial u_{ik}} \right)_T$$

$$u_{ik} = - \left(\frac{\partial g}{\partial \sigma_{ik}} \right)_T = - \left(\frac{\partial g}{\partial \sigma_{ik}} \right)_S$$

Thermodynamics of deformation

The physical origin of the deformation



$$dF = -SdT - PdV + f_r dl \quad f_r > 0$$

$$f_r = \left(\frac{\partial F}{\partial l} \right)_{T,V} = \left(\frac{\partial E}{\partial l} \right)_{T,V} - T \left(\frac{\partial S}{\partial l} \right)_{T,V} \quad (1)$$

Internal
energy
variation

Entropy
variation

Metals vs. Elastomers

F is an exact total differential

$$\frac{\partial}{\partial l} \left(\frac{\partial F}{\partial T} \right)_l = \frac{\partial}{\partial T} \left(\frac{\partial F}{\partial l} \right)_T \Rightarrow - \left(\frac{\partial S}{\partial l} \right)_{T,V} = \left(\frac{\partial f_r}{\partial T} \right)_{l,V} \quad (2)$$

$$f_r = \left(\frac{\partial E}{\partial l} \right)_{T,V} + T \left(\frac{\partial f_r}{\partial T} \right)_{l,V} \quad (1) + (2)$$

Hooke's law

hypothesis

equilibrium:

Undeformed state

$$u_{ik} = 0 \Rightarrow \sigma_{ik} = 0$$

$$\sigma_{ik} = \left. \frac{\partial F}{\partial u_{ik}} \right)_T$$

F doesn't contain a linear term in u_{ik}, σ_{ik}

We search for invariants as coefficients of f , i.e, powers of u_{ik}

u_{ik} can be diagonalized, we can write the characteristic equation

$$\text{Det}(\bar{u} - \lambda I) = 0 \Rightarrow \lambda^3 - \text{Tr}(\bar{u})\lambda^2 - C_2\lambda + \text{Det}(\bar{u}) = 0$$

$$C_2 = u_{12}^2 + u_{13}^2 + u_{23}^2 - u_{11}u_{22} - u_{11}u_{33} - u_{22}u_{33}$$

but $\sum_{ik} u_{ik}^2 = \left[\text{Tr}(\bar{u}) \right]^2 + 2C_2$ is also an invariant

$\left[\text{Tr}(\bar{u}) \right]^2$	C_2	$\text{Det}(\bar{u})$
second order invariant	second order invariant	third order invariant

$\text{Tr}(\bar{u}) = u_{ii}$ first order invariant

Hooke's law

A possible form of free energy is:

$$f = f_0 + \frac{\lambda}{2} \left[\text{Tr}(\bar{\mathbf{u}}) \right]^2 + \mu \sum_{ik} u_{ik}^2$$

λ and μ are Lamé constants
 $\lambda \sim$ Young's modulus & ν
 $\mu \sim$ shear modulus

Search for a more physical form

$$u_{ik} = \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\mathbf{u}}) \right) + \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\mathbf{u}})$$

Pure shear hydrostatic pressure

$$\sum_{ik} \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\mathbf{u}}) \right)^2 \quad \text{is also an invariant}$$

$$f = f_0 + \frac{K}{2} \left[\text{Tr}(\bar{\mathbf{u}}) \right]^2 + \mu \sum_{ik} \left(u_{ik} - \frac{1}{3} \delta_{ik} \text{Tr}(\bar{\mathbf{u}}) \right)^2$$

with $K = \lambda + \frac{2}{3} \mu$

Hooke's law

$$\sigma_{ik} = \left. \frac{\partial f}{\partial u_{ik}} \right)_T \Rightarrow \sigma_{ik} = 2\mu \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{u}) \right) + \delta_{ik} K \text{Tr}(\bar{u})$$

$$\text{Note that: } \text{Tr}(\bar{\sigma}) = 3K \text{Tr}(\bar{u})$$

Hooke's law...depending on strains

$$u_{ik} = \frac{1}{2\mu} \left(\sigma_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\sigma}) \right) + \frac{1}{9K} \delta_{ik} \cdot \text{Tr}(\bar{\sigma})$$

Physical signification of μ and of K

$$\text{Uniform compression: } \sigma_{ik} = -p \delta_{ik}$$

$$\text{Tr}(\bar{u}) = \frac{\Delta V}{V} = -\frac{p}{K} \quad \frac{1}{K} = -\left(\frac{\partial V}{\partial P} \right)_T \frac{1}{V}$$

K is the compressibility modulus

Hooke's law

$$\text{Pure shear-stress } u_{12} = \frac{\theta}{2} \Rightarrow \sigma_{12} = \mu\theta$$

μ is the shear modulus

$$\text{Homogenous traction: } \sigma = \sigma_{33} \Rightarrow u_{33} = \frac{\sigma}{E} \Rightarrow E = \frac{9K\mu}{3K + \mu}$$

$$u_{11} = u_{22} = -\nu \frac{\sigma}{E} \text{ with } \nu = \frac{1}{2} \frac{3K - 2\mu}{3K + \mu} \Rightarrow -1 < \nu < \frac{1}{2}$$

New formulation of the Hooke's law:

$$\sigma_{ik} = \frac{E}{1+\nu} \left(u_{ik} + \frac{\nu}{1-2\nu} \delta_{ik} \cdot \text{Tr}(\bar{u}) \right)$$

$$u_{ik} = \frac{1}{E} \left((1+\nu) \sigma_{ik} - \nu \cdot \delta_{ik} \cdot \text{Tr}(\bar{\sigma}) \right)$$

Effect of temperature

$$f = f_0(T) - K\alpha(T - T_0)Tr(\bar{\mathbf{u}}) + \frac{K}{2} [Tr(\bar{\mathbf{u}})]^2 + \mu \sum_{ik} \left(u_{ik} - \frac{1}{3} \delta_{ik} Tr(\bar{\mathbf{u}}) \right)^2$$

$$\sigma_{ik} = -K\alpha(T - T_0)\delta_{ik} + 2\mu \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot Tr(\bar{\mathbf{u}}) \right) + \delta_{ik} K Tr(\bar{\mathbf{u}})$$

$$\bar{\sigma} = 0 \Rightarrow Tr(\bar{\mathbf{u}}) = \frac{\Delta V}{V} = \alpha(T - T_0)$$

Equilibrium equation of isotropic bodies $u_{ik} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} \right)$

$$\frac{\partial \sigma_{ik}}{\partial x_k} = 0 = \frac{E}{1+\nu} \left(\frac{\partial u_{ik}}{\partial x_k} + \frac{\nu}{1-2\nu} \frac{\partial Tr(\bar{\mathbf{u}})}{\partial x_i} \right) = \frac{E}{2(1+\nu)} \frac{\partial^2 u_i}{\partial x_k^2} + \frac{E}{2(1+\nu)(1-2\nu)} \frac{\partial^2 u_l}{\partial x_l \partial x_i}$$

$$(1-2\nu)\Delta\vec{u} + \overrightarrow{grad}(div(\vec{u})) = 0 \Rightarrow \Delta\Delta\vec{u} = 0 \quad \vec{u} \text{ is biharmonic}$$

Generalized Hooke's law

$$\sigma_{ij} = C_{ijkl} u_{kl}$$

$$\text{energy } W = \frac{1}{2} \sigma_{ij} u_{ij} = C_{ijkl} u_{kl} u_{ij}$$

Symmetry: 6 indices notation

11 \rightarrow 1, 22 \rightarrow 2, 33 \rightarrow 3, 23 \rightarrow 4, 31 \rightarrow 5, 12 \rightarrow 6

but $u_4 = 2u_{23}$, $u_5 = 2u_{31}$, $u_6 = 2u_{12}$

$$\begin{bmatrix} \sigma_1 \\ \sigma_2 \\ \sigma_3 \\ \sigma_4 \\ \sigma_5 \\ \sigma_6 \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ C_{12} & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ C_{13} & C_{23} & C_{33} & C_{34} & C_{35} & C_{36} \\ C_{14} & C_{24} & C_{34} & C_{44} & C_{45} & C_{46} \\ C_{15} & C_{25} & C_{35} & C_{45} & C_{55} & C_{56} \\ C_{16} & C_{26} & C_{36} & C_{46} & C_{56} & C_{66} \end{bmatrix} \cdot \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{bmatrix}$$

Generalized Hooke's law

Crystalline system	C_{ij}	a_{ij}
triclinic	18	3
monoclinic	12	3
orthorhombic	9	3
tetrahedral	6	2
rhombohedral	6	2
hexagonal	5	2
cubic	3	1

Application to cubic crystals

$$C_{mn} = \begin{bmatrix} C_{11} & C_{12} & C_{12} & 0 & 0 & 0 \\ C_{12} & C_{11} & C_{12} & 0 & 0 & 0 \\ C_{12} & C_{12} & C_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{44} \end{bmatrix}$$

$$\sigma_{11} = c_{11}u_{11} + c_{12}u_{22} + c_{12}u_{33}$$

$$\sigma_{22} = c_{12}u_{11} + c_{11}u_{22} + c_{12}u_{33}$$

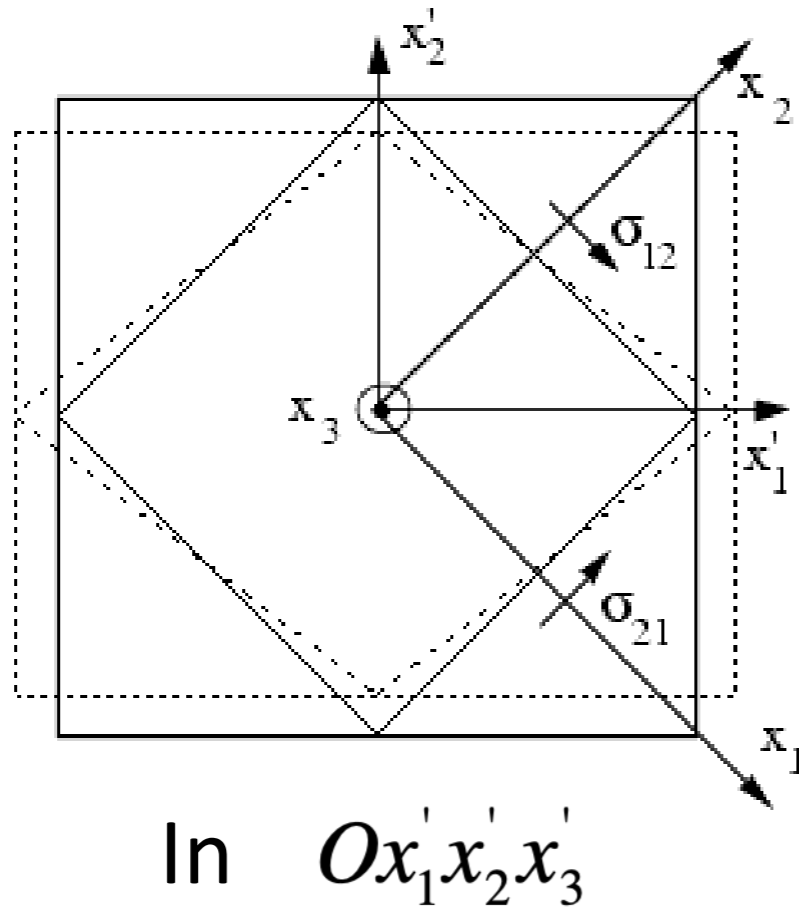
$$\sigma_{33} = c_{12}u_{11} + c_{12}u_{22} + c_{11}u_{33}$$

$$\sigma_{23} = 2c_{44}u_{23}$$

$$\sigma_{31} = 2c_{44}u_{31}$$

$$\sigma_{12} = 2c_{44}u_{12}$$

Anisotropy coefficient



$$\underline{\underline{\sigma}} = \begin{bmatrix} 0 & \sigma_{12} & 0 \\ \sigma_{12} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad \underline{\underline{u}} = \begin{bmatrix} 0 & u_{12} & 0 \\ u_{12} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\underline{\underline{\sigma'}} = \begin{bmatrix} \sigma'_{11} & 0 & 0 \\ 0 & \sigma'_{22} & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad \underline{\underline{u'}} = \begin{bmatrix} u'_{11} & 0 & 0 \\ 0 & u'_{22} & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\sigma'_{11} = \sigma_{12} \quad \sigma'_{22} = -\sigma_{12} \quad u'_{11} = u_{12} \quad u'_{22} = -u_{12}$$

Cubic $\sigma'_{11} = \sigma_{12} = 2C_{44}u_{12}$

$$\sigma'_{11} = C_{11}u'_{11} + C_{12}u'_{22} = (C_{11} - C_{12})u_{12}$$

$$2C_{44} = (C_{11} - C_{12}) \Rightarrow$$

Anisotropy coefficient

$$A = \frac{2C_{44}}{C_{11} - C_{12}}$$

	Na	K	Fe	W	Al	Cu	Pb	C _{diam}	NaCl	KCl
A	7.5	5.7	2.4	1	1.2	3.2	4	1.6	0.7	0.36

Inverse generalized Hooke law

$$u_{ij} = S_{ijkl} \sigma_{kl}$$

$$S_{ijkl} = S_{mn} \quad m, n = 1, 2, 3$$

$$S_{ijkl} = \frac{1}{2} S_{mn} \quad m \neq n = 4, 5, 6$$

$$S_{ijkl} = \frac{1}{4} S_{mn} \quad m = n = 4, 5, 6$$

for instance

$$u_1 = S_{11} \sigma_1 + S_{12} \sigma_2 + S_{13} \sigma_3 + \frac{1}{2} (S_{14} \sigma_4 + S_{15} \sigma_5 + S_{16} \sigma_6)$$

Auxetic solid, $\nu < 0$

